Cancer associated fibroblast subpopulations with diverse and dynamic roles in the tumor microenvironment

Running title: CAF Subtypes and Heterogeneity

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Abstract

Close interactions between cancer cells and cancer associated fibroblasts (CAFs) have repeatedly been reported to support tumor progression. Yet, targeting CAFs has so far failed to show a real benefit in cancer treatment, as preclinical studies shown that such strategy can enhance tumor growth. Accordingly, recent paradigm-shifting data suggest that certain CAF subpopulations could also show tumor inhibitory capabilities. The present review aims to provide an in-depth description of the cellular heterogeneity of the CAF compartment in tumors. Through combining information from different cancer types, here we define 4 main CAF subpopulations that might cohabitate in any tumor microenvironment (TME). In addition, a model for the evolution of CAFs during tumor development is introduced. Moreover, the presence of tumor inhibitory CAFs in the TME as well as their molecular characteristics are extensively discussed. Finally, the potential cellular origins of these distinct CAF subpopulations are reviewed. To our knowledge, this is the first attempt at establishing a broad but comprehensive classification of CAF subpopulations. Altogether, the present manuscript aims to provide with the latest developments and innovative insights that could help refine therapeutic targeting of CAFs for cancer treatment.
Favorable interactions between tumor cells and their surrounding tumor microenvironment (TME) are essential to cancer formation, progression and dissemination (1-4). Cancer cells are surrounded by a rich stroma, consisting of a complex meshwork of extracellular matrix (ECM) proteins, containing collagen and fibronectin among others, as well as a large number of cellular elements, such as endothelial cells, pericytes, immune cells, and cancer-associated fibroblasts (CAFs) (Figure 1) (2-4). Data are emerging that these stromal components, originating from myriad cell types (either resident or external to the organ system), have differing functions that are dynamic in nature.

In this review we discuss the heterogeneity of the CAF compartment in the TME by describing not only the well-documented tumor supporting role of CAFs but also by illuminating the existence of tumor inhibitory CAF subpopulations (5,6). We first summarize the cellular and molecular mechanisms underlying tumor supporting roles CAFs and the consequence of targeting them for cancer treatment (3). Then we introduce reports demonstrating tumor inhibitory properties of this still poorly understood subpopulation of CAFs. In addition, based on recent transcriptomics studies, we propose a broad classification of known CAF subpopulations detectable in the TME of many cancer types (7). Finally, we introduce a model for the evolution of the CAF compartment throughout tumor progression, which sheds light into the interactions of tumor supporting CAFs with tumor inhibitory ones.

1) The tumor supporting roles of the CAF compartment

a. Mechanisms underlying the tumor supporting capabilities of CAFs

i. Normal stromal cell reprogramming into tumor supportive CAFs

Tumor supportive capabilities of CAFs have been well recognized during the last two decades and has been the dogma surrounding the purpose of them in the TME (3,8). CAFs have been shown to secrete chemokines, cytokines, growth factors and to degrade their surrounding ECM in order to support and maintain tumor growth (3). Such tumor supportive CAFs are known to overexpress markers such as α-smooth muscle actin (α-SMA), fibroblast activation protein (FAP) and/or platelet-derived growth factor receptor α and β (PDGF-R α and β) (3). They rise from stromal cells in the TME through the direct influence of cytokines, growth factors and other compounds secreted by tumor cells, including PDGF α/β, vascular endothelial growth factor (VEGF), stromal derived factor-1 (SDF-1), transforming growth factor-β1 (TGF-β1) or matrix metalloproteinases (MMPs) (9). Among the main drivers of the reprogramming of normal stromal cells into CAFs are the molecular pathways usually associated with epithelial-to-mesenchymal transition (EMT), including
the TGF-β associated signaling pathway (10). Further reports additionally showed that CAF activation might be, at least partly, redox-dependent. Indeed, evidence has repeatedly associated oxidative stress and ROS to CAF differentiation and tumor-supporting capabilities (11). Furthermore, constant crosstalk with the other stromal cells and cancer cells of the TME as well as autocrine signaling, involving TGF-β1 and SDF-1 pathways, help maintain the specific CAF phenotype in an integrated way (9).

### ii. CAF involvement in creating a tumor permissive microenvironment

CAFs have been shown to directly impact tumor cell proliferation, motility, invasion, metabolism, stemness and therapeutic resistance, which all contribute to cancer expansion. Accordingly, CAF-produced chemokines, such as SDF-1, have been reported to stimulate tumor invasiveness and metastasis through binding to specific receptors, such as C-X-C chemokine receptor type 4 (CXCR4), in various types of cancer including breast, gastric and lung cancers (3). Tan et al. extensively described such communication between colorectal cancer (CRC) cells and hepatic CAFs where SDF-1 secreted by CAFs activates CXCR4 in tumor cells, which subsequently stimulates the expression of TGF-β (12). It was also demonstrated that TGF-β produced by tumor cells would be directly involved in the differentiation of hepatic stellate cells into CAFs, which, altogether, could promote colorectal liver metastases (12).

Other mechanisms underlying the tumor-supportive roles of CAFs include their potential to maintain tumor cell-specific metabolism, regardless of the oxygen level in the surrounding microenvironment (13). Indeed, CAFs have been reported to help tumor cell metabolism via transfer of intermediate metabolites such as lactate via the reverse Warburg effect, then providing them with adenosine triphosphate (ATP). Such metabolic coupling has recently linked lactate produced by hypoxic CAFs to enhance invasive capacity of breast cancer cells via fueling of their mitochondrial activity and activation of the TGF-β1/p38 MAPK/MMP-2&9 signaling (14). This tight metabolic interplay between tumor cells and CAFs allows tumors to rapidly adapt and switch between glycolysis and oxidative phosphorylation, depending on oxygen levels, thus maintaining a high ATP supply that is essential to cancer cell proliferation and invasion (15). CAFs also interact closely with other stromal cells, including immune cells, to create the most tumor-permissive microenvironment to support progression and metastasis (16).

### iii. CAFs as prognostic biomarkers

Expression of specific CAF markers has been associated with poor clinical prognosis in various cancer types (3). Using in vitro co-culture of primary CAFs with CRC cells, Herrera et al.
established a gene expression ‘CAF signature’ that was able to discriminate patients into high or low risk groups, with high expression of ‘CAF signature’ genes linked to poor prognosis (17). In the same way, breast cancer subtype-specific gene expression profiles were described in CAFs by Tchou et al., in which CAF-Integrin Alpha 3 (ITGA3) and ITGA5 were upregulated in the most aggressive HER2+ breast cancers (18). Moreover, recent extensive genomic analysis of CAFs obtained from chemosensitive vs chemoresistant breast cancer samples revealed that CAF-CXCL8, CXCL10 or CXCL11 were among genes associated with chemoresistance and poor prognosis in breast cancer (19).

b. Targeting CAFs for cancer treatment

Considering the important tumor supportive capabilities of CAFs, multiple studies have shown the potential of targeting them to improve cancer treatments (9,20-22). Specific targeting of CAFs has resulted in reduced tumor growth and reduced therapeutic resistance in several in vitro and in vivo studies (22-25). Li et al. demonstrated in a mouse xenograft model of CRC that targeting the CAF-activating protein FAP through the dipeptidyl peptidase inhibitor PT-100 significantly suppresses tumor growth and increases animal survival when combined with chemotherapy. In addition, authors also reported a decrease in the abundance of cancer-associated macrophages and endothelial cells in treated tumors, suggesting a central role of CAFs in organizing and integrating the TME (23). In a similar way, depletion of FAP+ CAFs resulted in improving the anti-tumor effect of immunotherapies in an in vivo pancreatic ductal adenocarcinoma (PDAC) model (22). Accordingly, anti-FAP vaccination has been reported to inhibit tumor growth in melanoma, breast cancer and lymphoma mouse models (25). In another study, inhibition of the mTOR/4E-BP1 pathway was shown to selectively inhibit synthesis of proteins such as interleukin-6 (IL-6) in CAFs, consecutively limiting PDAC tumor growth and chemoresistance in vivo (24). Similarly, in an elegant study by Xu et al. combining evidence from 3D cell culture and animal models, inhibition of CAF-derived osteopontin has been observed to prevent lung metastasis in breast cancer. Interestingly, authors also reported a correlation between osteopontin expression and tumor invasiveness in human samples, indicating a tumor supporting role of CAFs via osteopontin in breast cancer patients (26). In addition, as studies have indicated that CAF activation might be, at least partly, under a redox control, antioxidant treatments have been shown to inhibit CAF activation, consecutively limiting their tumor supporting roles (27). The lipid peroxidation inhibitors alpha-tocopherol and butylated hydroxytoluene have thus been reported to decrease the myofibroblast population in an in vitro model of skin carcinogenesis, inhibiting the expression of hepatocyte growth factor (HGF), VEGF and IL-6, then reducing cancer cell invasion (27).
2) Tumor inhibitory CAFs are present in the TME

Based on evidence from the aforementioned reports, targeting CAFs as an anti-tumor strategy is, in theory, clinically promising. Nevertheless, the survival benefit of targeting CAFs as part of an anti-cancer treatment is yet to be proven as several recent studies have reported controversial data. For instance, talabostat, an inhibitor of FAP enzymatic activity, has showed minimal clinical activity in patients with metastatic CRC (28). By looking at the prognostic significance of CAFs in the microenvironment of PDAC, Park et al. accordingly revealed that low intratumoral FAP+ CAF counts would significantly correlate with reduced overall survival as compared to high counts. Authors then concluded that the presence of CAFs in the TME might not always be an indicator of bad prognosis, and suggested a potential tumor restraining role of the tumor stroma (29). In the same way, Özdemir et al. observed that depleting the myofibroblast population in PDAC led to cancer progression with reduced animal survival, via induced immunosuppression (30). Also, Rhim et al. surprisingly observed that reduced stromal content in a sonic hedgehog (Shh)-deficient mice model of PDAC caused tumors to be more aggressive. Authors thus suggested that at least a portion of the stromal compartment, including CAFs, can act to restrain tumor growth (31,32).

a. Evidence for tumor inhibitory capabilities of CAF subpopulations

i. Detection of tumor inhibitory CAFs in the TME

Preclinical studies have now provided evidence for significant, yet still controversial, tumor inhibiting effects of certain CAF subpopulations. Our recent study for instance describes how patient derived central nervous system (CNS) metastasis-associated stromal cells (cMASCs) strongly expressing known CAF markers, such as α-SMA, myosin9 (MYH9) and collagen type IV alpha 1 (COL4A1), could restrict tumor growth in vivo, hence suggesting the presence of subpopulation(s) of stromal cells/CAFs with tumor inhibitory potential in the TME of CNS metastasis (5,33).

Recent data also suggested that Meflin-positive fibroblasts could form a specific tumor-restraining CAF subpopulation in CRC and PDAC (6,34,35). In CRC, conditioned medium from ISLR⁺ CAF culture has been shown to reduce growth of cancer organoids while facilitating their differentiation in vitro (34). Detection of Meflin⁺ CAFs also correlated to favorable PDAC patients outcome (6). Xenograft models of PDAC grown with immortalized human pancreatic stellate cells transduced with Meflin showed tumor regression and lower infiltration of pro-tumoral α-SMA⁺ CAFs in mice, when compared to controls (6). In agreement with our recent study by Tew et al., authors observed that Meflin-related tumor inhibitory effects were linked to collagen deposition, as tumors
growing in Meflin-KO mice showed straighter and wider collagen structures as compared to tumors in wild-type mice (5,6,28).

### ii. Tumor inhibitory CAFs are present during early tumor development

Interestingly, tumor supportive α-SMA⁺ CAFs have been reported to arise from tumor inhibitory Meflin cells upon PDAC progression, suggesting that tumor inhibitory CAFs are present at early stages of tumor development (28). Using an engineered CAF cell line expressing a suicide gene construct, iCasp9-ΔCD19, coding for an inducible caspase 9, Shen et al. accordingly showed the selective apoptosis of CAFs at early stages of tumor development could promote the metastatic progression of breast cancer to lungs and bone (33,36). In addition, when eliminating CAFs at day 3 and day 10 post tumor implantation, authors observed a significant increase in the recruitment of tumor associated macrophages to the tumor bulk, similar to data reported by Özdemir et al. in a mouse model of PDAC where depletion of myofibroblasts led to a decreased overall immune surveillance in tumors (30).

Altogether, it seems like tumor inhibitory CAFs could be present in the TME as a host defense mechanism to restrain cancer development during its early stages (5,31,33,37). For the same reasons, normal fibroblasts present in the TME at the early stages of cancer growth have been associated with tumor inhibitory abilities (37-39). Nevertheless, tumor inhibitory CAFs seems distinct from normal fibroblasts in terms of molecular background and tumor inhibition intensity, even though they share common characteristics (39-41). Consequently, as for their tumor supporting counterparts, evidence shows that interactions with tumor cells are needed for tumor inhibitory CAFs to achieve their full inhibitory capabilities (39).

Along this line, Avagliano et al. recently generated a specific subpopulation of CAFs showing an intermediate proto-myofibroblast phenotype able to inhibit melanoma tumor progression. Authors observed that conditioned medium of such proto-myofibroblasts could reduce migratory capabilities of melanoma cells, while also being cytotoxic. As opposed to tumor supporting CAFs exhibiting a phenotype close to myofibroblasts, the reported proto-myofibroblasts showed low levels of α-SMA and cyclooxygenase-2 (COX-2) expression, suggesting that such a phenotype could be an intermediate cell type in the process of fibroblast differentiation into myofibroblasts (42).

### iii. Molecular features of tumor inhibitory CAFs are context dependent
As stroma evolves along with cancer progression, it is highly possible that different tumor inhibitory CAF subtypes are under the influence of other tumor associated stromal cells (32,43). In the same way, a given CAF subtype might act differently depending on the type of cancer it is associated with (44). Interestingly, we observed that a patient-derived stromal cell line, CM08, which we established from a lung adenocarcinoma to brain metastasis, could significantly inhibit the growth of a patient-derived tumor cell line CM04 in vivo, while it showed a more limited impact on the progression of a brain seeker clone derived from MDA-MB-231 cells (5). Consistent with this notion, podoplanin (PDPN) expression in CAFs has been associated with good prognosis in patients with small cell lung cancer with evidence that PDPN+ CAFs can inhibit tumor cell proliferation. On the other hand, presence of PDPN+ CAFs has been shown to predict poor outcome in patients with lung adenocarcinoma and lung squamous cell carcinoma (45). Also representative of such complexity are the dual roles of membrane type 1-matrix metalloproteinase (MT1-MMP) in an in vitro model of breast carcinoma which seems to act as a tumor inhibitor when produced by normal fibroblasts while being tumor stimulating when secreted by CAFs (43). The variability of MT1-MMP’s substrates produced by either normal fibroblasts or CAFs could explain the observed dichotomy, meaning that the tumor supporting capabilities of MT1-MMP are regulated by the TME context (43). Similarly, reports have indicated that both POSTN and hyaluronic acid (HA) secreted by CAFs can contribute to either tumor supportive or inhibitory functions depending on their concentration (POSTN) or molecular mass (HA) (46,47).

b. The role of CAF-mediated desmoplasia

i. Tumor supportive roles of desmoplasia

In addition to direct intercellular communication, CAFs have also been observed to affect tumor development via modification of the ECM in the TME, with overexpression and accumulation of matrix components like type I and III collagens or fibronectin, along with increased degradation of type IV collagen, overall making the TME more fibrotic. This growth of fibrous connective tissue surrounding a tumor is called desmoplasia. It is also known as the desmoplastic response or desmoplastic stroma (Figure 1). As a ‘wound that never heals’, tumor growth recapitulates key steps of chronic inflammation such as pro-inflammatory stimuli, modulation of the immune response, neo-angiogenesis and hypoxia, in turn creating a fibrotic TME (5). In the context of cancer development, the associated desmoplastic reaction orchestrated by CAFs has mostly been reported as tumor supportive and linked with poor prognosis (48). In addition, due to its exacerbated density and stiffness, the desmoplastic ECM tends to limit blood supply, drug delivery or immune cell infiltration, consequently increasing hypoxia/neu-angiogenesis and therapeutic resistance (48). Furthermore, related changes in the collagen composition of the ECM have been shown to support
tumor cell migration, EMT and chemoresistance, leading to poor prognosis (49). In breast cancer, Li et al. demonstrated that high degree of fibrosis is positively associated with cancer invasion (8). Moreover, treatments targeting CAFs have been observed to inhibit the cancer associated desmoplastic response, consecutively limiting tumor growth (50,51). Through adoptive transfer of FAP-targeted chimeric antigen receptor T cells, Lo et al. have been able to deplete FAP+ stromal cells in pancreatic and lung cancer mouse models, altering the integrity of the peritumoral ECM and vasculature and leading to a decrease in tumor growth (51).

**ii. Desmoplasia and tumor inhibition**

Desmoplasia has also been reported to show tumor inhibitory properties. Our group has reported that CNS metastasis-associated desmoplasia could impede tumor growth in vivo, most likely through abundant collagen deposition in the vicinity of the tumor, which purportedly creates a physical barrier of growth, limiting tumor progression (5). A trial with the antifibrotic drug nintedanib in non–small cell lung cancer reported clinical benefits in adenocarcinoma albeit not in squamous cell carcinoma, even though the stroma is fibrotic in both histotypes (44). When observing that their anti-desmoplastic therapeutic strategy would actually increase pancreatic tumor progression in vivo, Özdemir et al. suggested that desmoplasia is most likely dynamic during tumor progression, implying that its cellular and non-cellular composition is impacted by the constantly evolving molecular background of the cancer cells upon tumor progression, either through direct contact or indirect interactions with the TME (30).

Recent work by Wang et al. on PDAC-associated desmoplasia revealed that loose tumors (i.e. weakly desmoplasic as opposed to ‘dense’ or highly desmoplastic tumors) contained a subtype of CAFs with high metabolic activity (meCAFs) that was not observed in dense tumors (48). The concentration of immune cells was also reported to be higher in loose tumors as compared to dense ones. PDAC patients with high levels of meCAFs were then shown to have a high risk of metastasis and a poor prognosis, while being good responders to immunotherapy. Since they observed positive correlation between the presence of meCAFs and vascular invasion in patients, the authors suggested that a loose stroma containing meCAFs could support tumor invasiveness through producing metabolic intermediates for cancer and immune cells (48). In an elegant study using 3D biomaterials, Cao et al. showed that CAFs can adopt a tumor inhibitory phenotype when grown in a stiff/dense microenvironment whereas the same cells would become more tumor supportive in a softer matrix (52).

During the desmoplastic response associated with cancer development, both the ECM composition and CAF compartment appear to evolve in a complex interdependent way as the tumor...
progresses. CAFs and their desmoplastic-induced reaction might also support both a tumor inhibitory or supportive function and switch between different phenotypes in a context-dependent manner (5). Therefore, it is becoming clear that cancer-associated desmoplasia is fine-tuned by the dynamic nature of the TME as it evolves during tumor progression and can be either tumor promoting or tumor inhibitory (48). These observations highlight the need for improved and in-depth analyses of the different CAF subpopulations and their respective roles in the TME.

3) The heterogeneity of CAFs in the TME

Acknowledging the heterogeneity and the dynamic nature of the CAF compartment, which consists of both tumor supporting and tumor inhibitory CAFs, Zeltz et al. described CAF subtypes as ‘states’ rather than fixed cell types (20). Growing evidence is also pointing to the notion that CAF subpopulations harbor unique molecular backgrounds, cellular origins and functions (20). Since traditional CAF markers such as α-SMA are not uniformly expressed by all CAFs, there remains a need to identify additional markers that may also be able to identify CAF subpopulations and discriminate tumor inhibitory CAFs or states from tumor promoting ones (5,6,53). Such an effort would require the enumeration and molecular characterization of the distinct subpopulations present in a given tumor, along with understanding the role of CAF cellular origin (5,32).

a. Influence of the cellular origin on the phenotype of CAFs

i. Cellular origins of CAFs

Multiple cell types have been proposed as potential origins of CAFs. These include resident tissue normal fibroblasts, adipocytes, pericytes, mesenchymal stem cells (MSCs), hematopoietic stem cells, epithelial cells, and endothelial cells (Figure 2) (3). CAFs can arise from local fibroblasts or pericytes through mesenchymal-to-mesenchymal transition (MMT). In addition, bone marrow-derived MSCs recruited to the tumor site for tissue repair or epithelial cells undergoing EMT can also differentiate into CAFs (9). In our study, we reported the isolation of a population of tumor inhibitory CAFs termed cMASCs. We reported that cMASCs expressed many MSC markers such as annexin A5 (ANXA5), CD44, COL1A1, endoglin (ENG) or ITGB1, but also CD248, a known pericyte marker, which represents a local source of MSCs in the brain (5).

ii. CAFs in the metastatic niche

The metastatic niche is known to contain CAFs that have circulated along with or ahead of metastatic cells. Studies recently demonstrated the association of CAFs to individual circulating tumor cells (CTCs) or clusters of CTCs that can be found in the blood of patients with metastatic...
cancers (54). Their detection has thus been suggested as a potential biomarker for metastatic spreading (55). CTC clusters have correlated with poor prognosis in patients with metastatic cancer (56). Nevertheless, it’s still unclear the extent to which circulating CAFs contribute to the CAF compartment at the metastatic site (54,57). In addition, as highlighted by Hurtado et al., the cellular origin of these circulating CAFs is yet to be identified. It is also not yet known which CAFs within the primary cancer can enter circulation to potentiate metastasis (54).

iii. Distinct CAF subpopulations originate from different cell types

Neuzillet et al. observed that several different CAF subpopulations could emerge from a single cellular source (58). Alternatively, others suggested that distinct CAF subpopulations or phenotypes in a bulk tumor might originate from different cell types (Figure 2). Su et al. suggested that CAF diversity they observed in the breast carcinoma TME is the result of recruiting different fibroblast precursors and paracrine signaling (Figure 2) (43).

As the cellular reprogramming of normal cells to CAFs is likely variable between different cancer types and tissues, the cellular origin for CAF subtypes might also differ in a context dependent manner (32,39,59). Coffman et al. developed a predictive gene expression-based algorithm to classify carcinoma-associated MSCs (CA-MSCs), the progenitor cells from which the authors proposed CAFs originate. The study reported that while bone marrow-derived MSCs could become tumor supportive when co-cultured in vivo with breast cancer cells, they would display tumor inhibitory capabilities when co-cultured with ovarian cancer cells (60). In contrast, local abdominal MSCs were shown to have a tumor supporting role when co-cultured with ovarian cancer cells. Koh et al. recently observed that fibroblasts derived from various tissues (colon, lung and skin) differentially impact colon cancer cell proliferation in an in vitro co-culture experiment (Figure 2) (41). Bone marrow-derived MSCs have been proposed by our group and others to also be the source of tumor inhibitory CAFs (9,61). In addition, both tumor inhibitory CAF and MSCs share Meflin as a common marker (62). These data collectively suggest that CAF reprogramming and subsequent function is tissue and cancer type dependent (3,5,60).

b. The identification of CAF subpopulations

i. Transcriptomic analyses decipher the CAF compartment in the TME

Historically immunohistochemistry was the mainstay of CAF functional analyses. However, more recently studies have used transcriptomic analysis to elucidate the heterogeneity of the CAF TME compartment (10,48,53,58,63-69). Lambrechts et al. used single cell (sc)RNA-sequencing to characterize the stromal compartment in the lung cancer microenvironment. The authors described
five distinct types of fibroblasts, with a unique collagen and ECM profile for each subclass. Interestingly, they observed that fibroblast cluster 6, described as ‘normal fibroblasts’ and characterized by high elastin levels and low levels of collagens I, III, V and VI, was significantly correlated to favorable outcome in patients with lung adenocarcinoma (63). Furthermore, gene enrichment analysis revealed that cluster 6 fibroblasts showed up-regulation of inflammatory response pathways, as opposed to other clusters such as cluster 7, a subpopulation shown to be substantially associated with poor outcome. Yet, presence of cluster 6 fibroblasts was also significantly associated with poor outcome in patients with lung squamous cell carcinoma (63). As a result, the authors suggested that the abundance and unique functions of a given CAF cluster could differ between tumor types, with consequently different associated prognosis and therapeutic response (20,63). In another study by Neuzillet et al., patient derived PDAC CAFs were profiled for 770 genes of the PanCancer Progression panel, which revealed 4 distinct CAF clusters that overlapped with some of the CAF clusters defined by Lambrechts et al. (Figure 3). A periostin (POSTN)-positive subpopulation, overlapping with clusters 1 and 5 described by Lambrechts et al., had the least proliferative effect on cancer cells and showed lower chemoprotection to cancer cells as compared to other CAF subpopulations in an in vitro co-culture set up. However, the same POSTN-positive subpopulation was associated with poor prognosis in patients (58). In addition, as observed by the authors, POSTN is strongly expressed at the invasive front of the tumors while being involved in tumor capsule formation and metastatic niche preparation (70). Considering the low pro-tumoral effect and association with poor prognosis, it is likely that POSTN+ CAFs arise as a host response mechanism to restrain tumor growth (5,71).

Using a melanoma mouse model, Davidson et al. also performed scRNA-sequencing and identified three subpopulation, namely S1 or ‘immune’, S2 or ‘desmoplastic’ and S3 or ‘contractile’ stromal cells (Figure 3). The S1 subpopulation was shown to overexpress PDPN, PDGF-Rα and CD34 while S3 showed high levels of α-SMA. S2 was identified as having intermediate expression of PDPN and PDGF-Rα but low expression of α-SMA and CD34. Further analysis revealed that each subpopulation had its specific functional purpose with S1 ‘immune’ subpopulation involved in the recruitment of immune cells through pro-inflammatory cytokines like SDF-1 or CSF-1 and receptors such as IL-6ra and IL-6st. S2 ‘desmoplastic’ subpopulation was thought to promote the desmoplastic reaction via overexpression of gene coding for ECM components like collagens (Col1a1, Col1a2, Col6a2), Postn and Tnc. The S3 ‘contractile’ subpopulation overexpress genes involved in the regulation and rearrangement of the actin cytoskeleton. S3 stromal cells were reported to be the most proliferative among all subpopulations (59). Similarly, through scRNA-sequencing, Sebastian et al. described three main subpopulations of CAFs in both breast and pancreatic cancers, namely
‘myofibroblastic’ CAFs enriched for α-SMA and other contractile proteins; ‘inflammatory’ CAFs showing overexpression of cytokines involved in inflammation and; a major histocompatibility complex (MHC) class II-expressing CAF subpopulation (7).

ScRNA sequencing by Bartoschek et al. in a mouse model of breast cancer identified ‘matrix CAF’ and ‘cycling CAF’ populations (66). Analysis of single cell transcriptomes in human colorectal tumors by Li et al. identified two CAF subpopulations, ‘CAF A’ cells expressing genes related to ECM remodeling while ‘CAF B’ showed ‘myofibroblastic’ features (65). As an attempt to decipher the stromal microenvironment in cutaneous melanoma spheroids through scRNA-sequencing, Novotny et al. recently described a ‘ECM-’ CAF cluster with a pro-inflammatory profile and an ‘ECM+’ cluster, enriched with ECM markers such as COL1A1, and a ‘ID+’, which was characterized by overexpression of factors involved in the TGF-β pathway (72).

Despite the expected differences between the different transcriptomic analyses, a common pattern has emerged from the data published describing the presence of different CAF subpopulations (Figure 3). For instance, both the ‘inflammatory’ and the ‘Cd74-high’ subpopulations characterized by Sebastian et al. respectively resemble, at the molecular level, the ‘desmoplastic’ and ‘immune’ subpopulations reported by Davidson et al. In the same way, the ‘CAF A’ subpopulation described by Bartoschek et al. and the ‘ECM+’ subpopulation reported by Novotny et al. also showed ‘desmoplastic’ features. Also characterized by Novotny et al., the ‘ECM-’ subpopulation showed ‘immune’ characteristics. In addition, a CAF cluster (Cluster 2) defined as ‘dividing/cycling’ in triple negative breast cancer seems to mirror the ‘contractile’ subpopulation of CAFs linked to melanoma (7,59).

Accordingly, we suggest that there are 4 broad categories of CAFs described in the literature: ‘immune’, ‘desmoplastic’, ‘contractile’ and ‘aggressive’ (Figure 3). We believe this nomenclature can help clarify and simplify comparisons between studies until a more comprehensive meta-analysis report is available, which will allow the clustering of CAFs across different cancer types to identify subpopulations and components within each subpopulation. Potential markers for the ‘immune’ subpopulation are C3, PDPN and ENG. The ‘desmoplastic’ subpopulation can be discriminated from other CAFs through expression of markers like POSTN as well as ECM components, such as elastin and collagen (type 1 and 4). The ‘contractile’ subpopulation is defined by expression of factors involved in actin cytoskeleton rearrangement and/or cell cycle regulation. High expression of markers associated with EMT, such as vimentin or VEGF-A, or the TGF-β pathways characterizes ‘aggressive’ CAFs. Both ‘contractile’ and ‘aggressive’ subpopulations show the highest expression of α-SMA and are most often associated with poor patient survival / outcome.
Interestingly, through co-culturing fibroblasts with cancer cells, Neuzillet et al. noticed that pancreatic stellate cells could evolve from the least pro-tumoral POSTN+ CAF subtype (subtype A) to fully supportive CAF phenotypes (subtypes B and C, characterized by myosin heavy chain 11 (MYH11) and PDPN expression, respectively) (58). Similarly, by performing scRNA-sequencing at various points during tumor progression in a mouse model of PDAC, Dominguez et al. identified how two ‘normal fibroblast’ clusters gave rise to two distinct CAF lineages in a mouse model of PDAC (Figure 3) (10). Most importantly, Il-1 and TGF-β-dependent pathways were identified to underlie the reprogramming of each lineage which eventually were observed to take over the PDAC stromal compartment. Similar IL-1 and TGF-β driven CAF subpopulations were described in PDAC patients, although compared to the mouse model both of these lineages appeared to arise from a single early CAF subpopulation (10).

Early ‘CAF states’ in different cancer types appear to share immune/inflammatory features. These characteristics could be linked to tumor restraining properties of some CAF subpopulations during the early stages of cancer development. In a recent study, Chen et al. reported that a CAF subpopulation defined as ‘complement-secreting CAFs’ (csCAFs) showed high expression of complement system components, such as C3 or C7, involved in regulating immune/inflammatory response. These csCAFs, which could be described as ‘immune’ CAFs, were present exclusively in early-stage PDAC and were described as potential tumor inhibitory CAFs (73). In pancreatic tumors, transcriptional profiling of serum amyloid a3 (Saa3)-KO CAFs with tumor inhibitory capabilities revealed an overall down-regulation of cytokine expression compared to Saa3+ CAFs. These (Saa3)-KO CAFs did, however, express pro-inflammatory markers such as tumor necrosis factor α (TNFα) and genes in the IL-6 pathway (40). Similarly, prostate cancer associated CAFs with tumor inhibitory capabilities have been shown to upregulate pro-inflammatory genes such as IL-6 and CXCL2 (39).

Similar to the data reported by Dominguez et al. in PDAC, the distribution of stromal cell subpopulations in the murine melanoma TME described by Davidson et al. was observed to change upon tumor progression. This evolution progressively leads to the prevalence of the highly tumor supportive ‘contractile’ CAF subpopulation at late stages of tumor development, as opposed to the ‘immune’ and ‘desmoplastic’ subpopulations present at earlier stages (Figure 3) (7,59). Sebastian et al. also suggested that the ‘desmoplastic’ CAFs they described in murine models of triple negative breast and pancreatic cancers may evolve and differentiate into ‘aggressive’ CAFs as the tumor progresses (Figure 3) (7). Taken together these data suggest that CAF evolution, towards an
increasingly tumor supportive phenotype is a common feature of many cancers during tumor progression and warrants further investigation (Figure 4).

While the CAF compartment becomes more supporting as the tumor progresses, CAF heterogeneity appears to decrease. Support for this comes from Venning et al. who recently followed the evolution of CAF subpopulations in murine models of triple negative breast cancer (74). Tumors were collected at day 7, 14 and 21 post injection, from which CAFs were isolated. Based on the expression of 6 markers (α-SMA, FAP, PDGF-Rα and β, CD26 and PDPN), authors defined up to 63 CAF subpopulations present in the TME of both TNBC models at day 7. Of these, 5 became more prevalent by day 21 while other CAF subpopulations decreased in abundance. Eventually, the 5 main subpopulations contributed to more than 60% of the CAF compartment in the TME of both models (74). These data suggest that CAF compartment becomes more homogenous in its cellular composition over time, albeit the time frame studied was fairly short (74). Similar observations were made by Chen et al. in PDAC patient samples were late-stage tumors show only one subpopulation of CAFs, lacking other subpopulations described in early-stage tumors, including ‘immune’ CAFs (Figure 3) (73).

Overall, among the 4 subpopulations of CAFs we defined, we believe that the ‘immune’ and ‘desmoplastic’ subpopulations are the first ones to emerge as a host response to tumor growth and seem to be the most likely to have tumor inhibitory functions (73). On the other hand, the ‘contractile’ and ‘aggressive’ subpopulations show stronger tumor supporting capabilities (7,59). In addition, the ‘immune’ and ‘desmoplastic’ subpopulations slowly disappear over time while the ‘contractile’ and ‘aggressive’ subtypes become more prevalent (7,59,66,73,75). Taken together, it can be stipulated that the tumor inhibitory CAFs / tumor supporting CAFs ratio decreases over time as the CAF compartment eventually evolves into a more homogenous and tumor supportive environment (10,43,58,65,66,68,74) (Figure 4).

Conclusions

The heterogeneity of the CAF compartment is now widely acknowledged and well-described. In the present review we propose a nomenclature for four main CAF subpopulations that can be found in multiple cancer types and includes the ‘immune’, ‘desmoplastic’, ‘contractile’ and ‘aggressive’ CAF subpopulations. In addition, as we move away from the simplistic view describing CAFs as being essentially tumor supportive, recent evidence shows that the ‘immune’ and
‘desmoplastic’ subpopulations have tumor inhibitory properties and their presence and co-opting likely represents a host response to tumor growth (5).

The recognition of the existence of tumor inhibitory CAFs in the TME challenges long-lasting paradigms in the field that CAFs are solely tumor promoting entities in the TME. Undoubtedly, this provides new opportunities to improve patient care. For these reasons, this review is also a call for a better comprehension of the mechanisms behind the emergence, roles and evolution of the various CAF subtypes in the TME. Further work is still needed to characterize the subtype-specific functions of CAFs as well as their crosstalk with other cells in the TME. Such knowledge could eventually help future therapeutic strategies to specifically target only those tumor supportive CAFs. Altogether, we believe that the present review provides novel insights on the complexities of CAFs by identifying major subtypes and also highlights differences in the way these subtypes emerge, evolve and function.
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12. Tan HX, Gong WZ, Zhou K, Xiao ZG, Hou FT, Huang T, et al. CXCR4/TGF-β1 mediated hepatic stellate cells differentiation into carcinoma-associated fibroblasts and promoted liver...


Figure legends

Figure 1: Overview of the tumor microenvironment

In the tumor bulk, cancer cells interact with a complex meshwork of extracellular matrix proteins, including collagen and fibronectin. Cancer cells also interact with a milieu of and stromal cells, including endothelial cells, pericytes, immune cells, and cancer-associated fibroblasts. As the tumor develops, cancer cells communicate with the tumor microenvironment through cytokines, growth factors, and extracellular vesicles.

Figure 2: Potential cellular origins of CAFs

Multiple stromal cell types have been proposed as potential origins of CAFs, including resident tissue normal fibroblasts, adipocytes, pericytes, mesenchymal stem cells, hematopoietic stem cells, epithelial cells and endothelial cells. How different subpopulations of CAFs are formed in the growing tumor is yet to be fully understand but two main models of CAF transformation and lineage are acknowledged in the literature. The first of these purports that CAF subpopulations are derived from one type of normal stromal cell that undergoes CAF reprogramming followed by further differentiation that would lead to different CAF varieties and subpopulations (Model A). The second model proposes that CAF subpopulations are derived from different precursor stromal cells in the host tissue (Model B). In both models, CAFs subpopulations can further differentiate into more specialized subpopulations.

Figure 3: Proposed subpopulations of cancer associated fibroblasts

The figure displays 4 broad subpopulations of CAFs in the TME as extracted from the literature: Immune, Desmoplastic, Contractile and Aggressive. The immune and desmoplastic populations tend to be tumor inhibitory while the Contractile and Aggressive are more tumor supportive. The ‘immune’ subpopulation is associated with C3, ENG, IL-6, PDGF-Rα and PDPN expression. The
‘desmoplastic’ subpopulation is specifically characterized by a high expression of DCN, LUM and POSTN as well as extracellular matrix (ECM) components, such as elastin and collagen. The ‘contractile’ and ‘aggressive’ sub-populations are respectively defined by a high expression of factors involved in contraction of actin stress fibers or cell cycle (‘contractile’) and high expression of markers associated with EMT, such as vimentin or VEGF-A, or the TGF-β pathways (‘aggressive’). Both show the highest expression of α-SMA and are linked to poor patient survival / outcome. The studies used to highlight the existence of these broad CAF types are listed on top.

Figure 4: Model of the evolution of the CAF subpopulation organization as the associated tumor progresses towards a more aggressive phenotype

CAFs are heterogenous and dynamic in nature. Tumor progression could be associated with a decrease of the tumor inhibitory CAFs / tumor supporting CAFs ratio, with highest levels seen at earlier tumor stages as a reaction to tumor emergence followed by a progressive conversion towards a tumor supporting CAF that overtakes the CAF compartment.
Figure 2

Model A

Normal stromal cell

Model B

Normal stromal cell subpopulations

Subpopulation 1

Subpopulation 2

Subpopulation 3

Subpopulation 4

CAF reprogramming

CAF subpopulations

Subpopulation 1

Subpopulation 2

Subpopulation 3

Subpopulation 4
Figure 3

1. Bartoschek et al., 2018 – Single cell RNA sequencing – Breast cancer
2. Davidson et al., 2020 – Single cell RNA sequencing – Melanoma
3. Dominguez et al., 2019 – Single cell RNA sequencing – Pancreatic ductal adenocarcinoma
4. Lambrechts et al., 2019 – Single cell RNA sequencing – Lung cancer
5. Li et al., 2017 – Single cell RNA sequencing – Colorectal cancer
7. Novotny et al., 2020 – Single cell RNA sequencing – Melanoma
8. Sebastian et al., 2020 – Single cell RNA sequencing – Triple negative breast cancer and Pancreatic ductal adenocarcinoma

- Immune / inflammatory response
- Better outcome / survival
- Normal fibroblasts? Can be found in normal tissue
- C3, ENG, IL-6, PDGF-Rα, PDPN expression
- Literature
  2. S1 ‘Immune’
  3. Normal fibroblasts & eCAFs
  4. Clusters 6 & 7
  6. Subtype C
  7. ECM-
  8. Cluster 5 ‘Immune’

- Desmoplastic reaction / Can overlap with ‘Immune’
- Expression of ECM components (e.g. elastin, collagens)
- Normal fibroblasts? Can be found in normal tissue
- DCN, LUM, PDGF-Rα, POSTN expression
- Literature
  1. mCAFs ‘Matrix’
  2. S2 ‘Desmoplastic’
  4. Cluster 5
  5. CAF-A
  6. Subtype A
  7. ECM+
  8. Cluster 6 ‘Inflammatory’

- Exclusively present in tumor tissue / Can overlap with ‘Aggressive’
- Poor outcome / survival
- Expression of genes involved in contraction of actin stress fibers
- αSMA expression
- Literature
  1. cCAFs ‘Cycling’
  2. S3 ‘Contractile’
  4. Clusters 2 & 3
  5. CAF-B
  6. Subtype D
  8. Cluster 2 ‘Dividing/cycling’

- Associated with EMT
- Poor outcome / survival
- Poor response to therapies
- TGF-β pathways
- αMA, COL10A1, VEGF-A, VIM expression
- Literature
  3. TGF-β CAFs (C0)
  4. Clusters 1 & 4
  6. Subtype B
  8. Cluster 1 ‘Myofibroblastic’
Figure 4

- **Tumor initiation / tumorigenesis**
  - Immune response / inflammation
  - Presence of immune cells
  - Heterogenous distribution of CAFs with potential presence of tumor inhibitory CAFs

- **Tumor growth / development**
  - Inflammation / desmoplastic response
  - Presence of immune cells
  - Changes in the ECM composition
  - Emergence of tumor supporting CAF sub-populations

- **Tumor growth / development**
  - Hypoxic microenvironment
  - Presence of tumor associated macrophages and endothelial cells
  - Further changes in the ECM composition
  - Predominance of tumor supporting CAF sub-populations

- **Tumor progression / metastatic process**
  - Neo-angiogenesis / tumor vascularization
  - Immune suppression
  - Presence of tumor associated macrophages and endothelial cells
  - Further changes in the ECM composition / degradation to support cancer cell invasion
  - Predominance of tumor supporting CAF sub-populations
  - Residual presence of other CAF subtypes

Tumor inhibitory CAF subpopulations

Tumor supporting CAF subpopulations
Molecular Cancer Research

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