Genomic Gain of 16p13.3 in Prostate Cancer Predicts Poor Clinical Outcome after Surgical Intervention

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Abstract

Identifying tumors with high metastatic potential is key to improving the clinical management of prostate cancer. Recently, we characterized a chromosome 16p13.3 gain frequently observed in prostate cancer metastases and now demonstrate the prognostic value of this genomic alteration in surgically treated prostate cancer. Dual-color FISH was used to detect 16p13.3 gain on a human tissue microarray representing 304 primary radical prostatectomy (RP) cases with clinical follow-up data. The results were validated in an external dataset. The 16p13.3 gain was detected in 42% (113/267) of the specimens scorable by FISH and was significantly associated with clinicopathologic features of aggressive prostate cancer, including high preoperative PSA (P = 0.03) levels, high Gleason score (GS, P < 0.0001), advanced pathologic tumor stage (P < 0.001), and positive surgical margins (P = 0.009). The 16p13.3 gain predicted biochemical recurrence (BCR) in the overall cohort (log-rank P = 0.0005), and in subsets of patients with PSA <10 or GS ≤7 (log-rank P = 0.02 and P = 0.006, respectively). Moreover, combining the 16p13.3 gain status with standard prognostic markers improved BCR risk stratification and identified a subgroup of patients with high probability of recurrence. The 16p13.3 gain status was also associated with an increased risk of developing distant metastases (log-rank P = 0.03) further substantiating its role in prostate cancer progression.

Implications: This study demonstrates the prognostic significance of the 16p13.3 genomic gain in primary prostate tumors, suggesting potential utility in the clinical management of the disease by identifying patients at high risk of recurrence who may benefit from adjuvant therapies. Mol Cancer Res; 16(1):115–23. ©2017 AACR.

Introduction

Prostate cancer is the most commonly diagnosed malignancy and one of the leading causes of cancer-related mortality in North American men (1). It is a heterogeneous disease ranging from clinically insignificant tumors that may not require any treatment to lethal forms of prostate cancer. Standard treatment options for localized prostate cancer consist mainly of radical prostatectomy (RP) or radiotherapy. Active surveillance, where the cancer is closely monitored and the definitive treatments are delayed until disease progression, has become a viable option for patients considered at low risk of progression (2). A key issue in the clinical management of prostate cancer is the difficulty to accurately distinguish aggressive from indolent tumors, which inevitably leads to overtreatment of harmless diseases and undertreatment of aggressive cancers with metastatic potential (3). The routinely used prognostic indicators like serum PSA levels, clinical tumor stage (cT-stage), and biopsy Gleason score (GS) have demonstrated limitations at accurately predicting the individual clinical outcome. Precisely assessing GS on biopsies is limited by the fact that partial sampling may result in an understimation of the final score of cancer in the RP specimen (4). The majority of patients undergoing RP present low–intermediate risk clinical features, and accurate prognosis within this subgroup of patients still remains a clinical challenge. Moreover, although most patients respond well to RP, a significant proportion will experience a disease recurrence, as assessed by a rise in serum PSA that might eventually progress to the metastatic stage. Early identification and more accurate risk stratification may, therefore, allow patients with aggressive tumors to receive appropriate treatment without delay while sparing patients with clinically favorable tumors from treatment side effects (3, 5).

Genomic profiling studies have highlighted disease heterogeneity, suggesting alternative molecular pathways driving prostate cancer development (6–13). Lapointe and colleagues have...
reported high frequency of DNA copy number alterations (CNA) in prostate cancer lymph node metastases (LN mets) including a gain of 16p13, 8q24 and deletion of 10q23 and 16q23 (11). These CNAs represent potential prognostic biomarkers that can be assessed in routine formalin-fixed paraffin-embedded (FFPE) tissue sections using specific DNA probes by FISH (14–19). We recently mapped the previously uncharacterized focal 16p13.3 genomic gain in primary prostate tumors and identified PDPK1 encoding 3-phosphoinositide–dependent protein kinase-1 (PDK1) as a likely driver of the gain with functional impact on prostate cancer cell migration (20). The current study, for the first time, demonstrates that 16p13.3 genomic gain is a predictor of poor clinical outcome in patients treated by RP that can aid to better risk stratify these patients when combined with standard clinicopathologic prognostic markers.

Materials and Methods

Study population and tissue microarray

The study was conducted with the written informed consent of the participants and approval from the Research Ethics Board of McGill University Health Centre (Québec, Canada, BDM-10-115). This biomarker study was done in accordance with REMARK guidelines (21). FFPE RP tissue specimens (n = 304) collected between 1993 and 2008 at the McGill University Health Centre were represented on a tissue microarray (TMA) by duplicate 1-mm cores taken from the dominant tumor nodule. The clinical correlates were retrieved from the medical chart and pathologic data were obtained by re-review of all RP cases by a single dedicated genitourinary pathologist (F. Brimo). The recent 2014 International Society of Urological Pathology (ISUP) criteria were used for assigning the final grade (22). The clinicopathologic characteristics of the study subjects are summarized in Table 1. Briefly, the mean preoperative serum PSA level for the cohort was 8.60 (±2.21), and the distribution of GS 6, 7, and ≥8 was 20.4%, 70.4%, and 9.2%, respectively. Sixty-four percent of patients belonged to pT2-stage, while 36% were at stage pT3. Cases for which the metastatic status was con

FISH data analysis

To evaluate the 16p13.3 copy number status, fluorescent signals were counted in 100 nonoverlapping interphase nuclei for each case (as identified on corresponding H&E) counterstained with ProLong Gold antifade reagent with DAPI (Life Technologies), to delineate nuclei. The 16p13.3 gain was defined as present at a threshold of ≥15% of tumor nuclei containing three or more 16p13.3 locus signals and two pHuR-195 signals, as we previously reported (20). Images were acquired with an Olympus IX-81 inverted microscope at ×96 magnification, using Image-Pro Plus 7.0 software (Media Cybernetics).

Statistical analyses

Associations between the 16p13.3 gain and clinicopathologic variables were evaluated by Fisher exact test for dichotomous variables and unpaired t test for continuous variables. Kaplan–Meier method and the log-rank test were used to generate and compare recurrence-free survival and metastasis-free survival curves, respectively. Cox regression analyses and the Wald test were used to evaluate univariate and multivariate HRs. The C-index was calculated as described by Harrel and colleagues (26). Analyses were performed using SPSS, WinStat, and R (Version 3.3.2).

Results

Association of 16p13.3 gain with adverse clinicopathologic features in RP

We used dual-color FISH to assess CNA at chromosome 16p13.3 on 304 RP specimens represented on a TMA. The clinicopathologic characteristics of the study subjects are summarized in Table 1. A total of 267 primary tumors were scorable by FISH, among which 113 (42%) harbored significant 16p13.3 genomic gain (Fig. 1). The 16p13.3 gain was significantly associated with clinicopathologic features of aggressive prostate cancer (Table 2) including high preoperative serum PSA levels (P = 0.03), GS (P < 0.0001), advanced pT-stage (P < 0.0001), and positive SMs (P = 0.009). The level of gain was restricted to single copy gain in most specimens, whereas 20 cases with 16p13.3 gain exhibited more than three copies of the locus in at least 10% of their nuclei. As
Meier analysis revealed that the 16p13.3 gain was significant in terms of its ability to stratify patients with low-risk prostate cancer associated with shorter BCR-free survival following RP (log-rank $P = 0.0005$; Fig. 2A). We then assessed the 16p13.3 gain in a prostate tumor (B), and nuclei with high level of gain (>3 orange signals and 2 green signals) in a prostate tumor (C). All representative pictures were taken at $	imes 46$ magnification. 

**Figure 1.** Dual-color FISH analysis of 16p13.3 gain in FFPE prostate cancer specimens. The arrows indicate, normal interphase nuclei with 2 orange signals (16p13.3 locus) and 2 green signals (centromere 16) in the tumor specimen with no 16p13.3 gain (A); nuclei with 3 orange signals (16p13.3 locus) and 2 green signals (centromere 16) per nucleus, indicating a single copy 16p13.3 gain in a prostate tumor (B); and nuclei with high level of gain (>3 orange signals and 2 green signals) in a prostate tumor (C). All representative pictures were taken at $	imes 46$ magnification. D, The pie chart represents the proportion of RP specimens in McGill cohort, harboring 16p13.3 gain as assessed by FISH.
Cases with GS ≤ 7
All cases
Cases with PSA ≤ 10
No gain
16p13.3 Gain

\[ n = 238 \]
\[ P = 0.0005 \]

\[ n = 222 \]
\[ P = 0.006 \]

\[ n = 189 \]
\[ P = 0.02 \]

\[ n = 257 \]
\[ P = 0.03 \]
Improved risk stratification of existing prognostic tools when combined with 16p13.3 gain

The HR for the 16p13.3 gain (HR = 2.30; 95% CI, 1.42–3.84; \( P = 0.001 \)) was estimated by univariate Cox regression analysis along with preoperative PSA levels, GS, pT-stage, and SM, which were also significantly associated with BCR (Table 3). In multivariate analysis, the 16p13.3 gain status remained a significant predictor of BCR after adjusting for the above standard clinicopathologic variables (Table 3). These observations were validated in an independent dataset from Taylor and colleagues (10), who reported DNA CNAs by array-CGH analyses of 194 prostate cancer cases with clinical follow-up (Supplementary Table S1).

We further evaluated whether combining 16p13.3 gain status with preoperative PSA, GS, and pT-stage, respectively, would improve patient stratification. The combination of the 16p13.3 gain status with each of these standard clinicopathologic variables segregated prostate cancer cases into three prognostic subgroups (log-rank \( P < 0.0001 \); respectively; Fig. 3A–C) stratified by the number of positive markers: (i) worst prognostic group characterized by presence of both markers; (ii) intermediate prognostic group with either of the two positive markers; and (iii) favorable prognostic group, with both markers absent. Furthermore, the BCR risk stratification significantly improved when all the 4 variables were considered to group the patients (log-rank test, \( P < 0.0001 \); Fig. 3D).

We then explored whether the 16p13.3 gain status could provide further prognostic information to the CAPRA-S score, a recently developed and validated clinicopathologic tool to predict the risk of recurrence post RP (23). In multivariate analysis, the 16p13.3 gain status was a significant predictor of BCR along the CAPRA-S score risk groups (Table 3). As an example, the addition of 16p13.3 gain status to the CAPRA-S score further led to the increase of the C-index as compared with the CAPRA-S score alone (0.78 vs. 0.77). Similarly, in Taylor and colleagues’ validation dataset, the gain status with CAPRA-S score also identified cases with very high risk of recurrence with a C-index of 0.73 as compared with 0.72 for the CAPRA-S alone (Supplementary Fig. S1).

Discussion

The detection of 16p13.3 gain in primary prostate cancer specimens was in coherence with our previously published report, where Choucair and colleagues detected 16p13.3 gain by FISH in 20% of the 46 RP specimens assessed (20). The difference in the proportion of cases harboring the gain (20% vs. 42%) between the two studies might be attributed to the small sample size of the earlier study (\( n = 46 \)) or reflected real biological differences between these two independent patient sets. Similar to our previous observations (20), the majority of cases with 16p13.3 gain harbored a single extra copy. Single copy gains of loci or entire chromosome are known recurrent events in other types of cancer that effectively contribute to tumor phenotypes (27–30). A few cases harbored more than a single copy gain, and they were more prevalent in the pT3-stage tumors. In our previous FISH study, nuclei with more than three copies of 16p13.3 locus were more common in LN mets and transurethral resections of prostate tissues of advanced castrate-resistant prostate cancer (CRPC) than in RP specimens (20), supporting a potential role for this locus in disease progression.

Consistent with our previous study, the 16p13.3 genomic gain was associated with clinicopathologic features of aggressive prostate cancer, such as high GS and preoperative PSA levels. Patients harboring 16p13.3 gain were more than twice as likely to experience BCR following RP than those without the gain. Combining the 16p13.3 gain status with individual clinicopathologic markers significantly improved BCR risk stratification in our study, wherein the incremental number of positive variables was associated with a higher risk of BCR.
Figure 3.
Improved risk stratification upon combination of 16p13.3 gain and standard prognostic markers. The 16p13.3 gain status was combined with individual standard clinicopathologic variables: PSA (A, ≤10 vs. >10 ng/mL); GS (B, ≤7 vs. >8); and pT-stage (C, pT2 vs. pT3) and cases with zero, one or two positive markers were compared by Kaplan-Meier analyses. D, The 16p13.3 gain status and all the 3 clinicopathologic variables described above were used to stratify patients and cases with zero, one, two, three, or all four positive markers were grouped to compare BCR outcome. Number of patients at risk at respective time points and P value (log-rank test) are indicated.

Table 4. Univariate and multivariate Cox proportional hazards analysis for CAPRA-S score risk groups and 16p13.3 gain

<table>
<thead>
<tr>
<th>McGill cohort Variable</th>
<th>Univariate analysis</th>
<th>Multivariate analysis</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>HR (95% CI)</td>
<td>P</td>
</tr>
<tr>
<td>CAPRA-S Risk</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Low (0–2) Ref.</td>
<td></td>
<td>&lt;0.0001</td>
</tr>
<tr>
<td>Intermediate (3–5)</td>
<td>3.13 (1.66–5.91)</td>
<td>0.0004</td>
</tr>
<tr>
<td>High (&gt;6)</td>
<td>8.94 (4.49–17.79)</td>
<td>&lt;0.0001</td>
</tr>
<tr>
<td>16p13.3 Status (gain vs. no gain)</td>
<td>2.30 (1.40–3.78)</td>
<td>0.001</td>
</tr>
</tbody>
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Abbreviations: CI, confidence interval; HR, hazard ratio.
*Wald test.
which reached its maximum for patients with four adverse factors, including the 16p13.3 gain. This improved stratification was observed in patients with intermediate and high risk of disease progression based on their CAPRA-S score. These results are in line with previous reports showing that genomic markers can further stratify subsets of patients classified by the CAPRA-S score (31, 32). The fact that the CAPRA-S score is already a very strong multiparameter predictor of BCR and that the low-risk group was not further stratified by the 16p13.3 gain may explain the limited increase of the C-index observed by the addition of this single variable to the model. Our model analysis was exploratory in nature, and further validation

Figure 4.
16p13.3 gain status affords additional prognostic information to the preestablished CAPRA-S score risk groups. A, Kaplan–Meier curves validating the prognostic significance of CAPRA-S risk groups when stratified as low risk (LR, CAPRA-S score 0–2), intermediate risk (IR, CAPRA-S score 3–5), and high risk (HR, CAPRA-S score ≥ 6). B, Each CAPRA-S risk group was further subdivided on the basis of the presence (+) or absence (−) of the 16p13.3 gain. C, Four risk groups were derived by merging the groups with the overlapping risk of BCR from B as follows: LR+/−; IR− or HR−; and HR+. Number of patients at risk at respective time points and P value (log-rank test) are indicated.
studies are warranted on larger cohorts to fully evaluate the added prognostic value of the 16p13.3 gain to the clinicopathologic predictors. Nevertheless, the addition of the 16p13.3 gain status to CAPRA-S identified a subset of patients at very high risk of recurrence, who may benefit from adjuvant treatments after RP.

Although very few metastatic events were observed in the McGill cohort (~5%), the 16p13.3 gain was also predictive of the increased risk of developing distant metastases following RP. Recently, using the whole-genome sequencing approach, Beltran and colleagues detected the 16p13.3 gain in 52% of the metastatic CRPC tumor samples (13). These results are in line with our previous studies detecting gain in about 50% prostate cancer lymph node metastases, an overrepresentation as compared with unpaired primary tumors (11, 20). These observations further support a role of 16p13.3 gain in cancer progression and warrant future studies in the context advanced diseases and response to therapies including androgen ablation.

The association of 16p13.3 gain with features of aggressive tumors was in line with studies in the breast (33), lung (34), and colon cancer (35), wherein the 16p13 gain was linked to poor prognosis. Our previous study defined the minimal region of 16p13.3 gain spanning 19 genes. PDK1, encoding PDK1, was shown to be a likely driver of the gain but other genes shown to be involved in other types of cancer reside at this locus as well (20). Of these, RAB26 is a Ras oncogene family member found to be upregulated in non–small cell lung carcinoma (36) and uveal melanoma (37). Similarly, CCNF (G2-mitosis–specific cyclin-F) was reported to be overexpressed in breast and esophageal cancer, respectively (38, 39). ABCA3, a known drug efflux pump belonging to the p-gp family, is overexpressed in acute myeloid leukemia (AML) and different cancer cell lines. Notably, ABCA3 overexpression conferred drug resistance in these AML cases (40–42). The relevance of these genes in prostate cancer remains to be investigated through functional studies.

A potential application for the 16p13.3 gain would be a FISH biomarker to identify patients requiring adjuvant or neoadjuvant therapies and to improve pretreatment prognostication given that accurate GS can be challenging to obtain on biopsies. Studies on needle biopsy cohort would be required for its implementation in the presurgical setting. The spatial resolution afforded by a histology-based assay such as FISH would facilitate sensitive assessment of individual cancer foci (43, 44) in a context of tumor heterogeneity and bypass the need for nucleic acid extraction from bulk tumor tissue required by several recently developed commercial assays based on gene expression signatures, such as Prolaris and Decipher (45–48). Of course, head to head comparison with these emerging assays would have to be done to select the best test for a given clinical context. Importantly, further studies are needed to demonstrate that genomic biomarker results can predict response to specific therapies and thus orient clinical decisions.

Taken together, our results support a role for 16p13.3 gain in prostate cancer progression and as a relevant prognostic biomarker. Incorporating 16p13.3 gain status with routinely used clinicopathologic variables could potentially be a step toward improving our ability to stratify patients into different prognostic groups.

Disclosure of Potential Conflicts of Interest
No potential conflicts of interest were disclosed.

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